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|---|----------------------|---------------------|---|
| <h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="margin: 5px 0;">(to be used for all correspondence after initial filing)</p> | Application Number | 10/020,482 | <div style="font-size: 2em; font-weight: bold; margin: 0;">RECEIVED</div> <div style="margin: 5px 0;">AUG 09 2002</div> <div style="margin: 5px 0;">TECH CENTER 1600/2900</div> |
| | Filing Date | October 30, 2001 | |
| | First Named Inventor | Edward M. ATKINSON | |
| | Examiner Name | To Be Assigned | |
| | Group Art Unit | 1648 | |
| Total Number Of Pages In This Submission | 4 | Attorney Docket No. | 228272003311 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 2. Marked-up copy of the first page of the Filing Receipt - 1 page |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | 3. Return postcard |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | |
|---|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 |
| Signature | |
| Date | April 22, 2002 |

| |
|--|
| CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 22, 2002. |
| Tiffany Dolmseth |

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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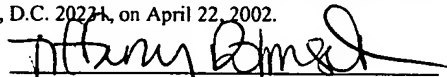
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PATENT
Docket No. 226272003311

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Tiffany Dolmsmith

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Edward M. ATKINSON et al.

Serial No.: 10/020,482

Filing Date: October 30, 2001

For: METHODS FOR GENERATING HIGH
TITER HELPER-FREE
PREPARATIONS OF RELEASED
RECOMBINANT AAV VECTORS

Examiner: To Be Assigned

Group Art Unit: 1648

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

1. In the Domestic Priority data as claimed by applicant, please replace

"THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997
AND CLAIMS BENEFIT OF 60/123,685 03/10/1999"

with

--THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997
THIS APPLICATION ALSO CLAIMS BENEFIT OF PCT/US99/20524 09/07/1999
WHICH CLAIMS BENEFIT OF 60/123,685 03/10/1999
AND CLAIMS BENEFIT OF 09/142,474 09/04/1998--

2. Under Foreign Applications, please delete "PCT/US99/20524 09/07/1999"

A marked-up copy of the first page of the Filing Receipt is enclosed.

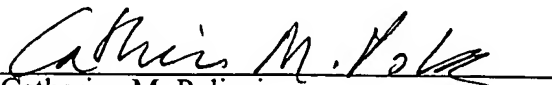
Support for these corrections can be found in the application as filed on October 30, 2001.

Applicants believe no fee is required. However, in the event that the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. **226272003311**.

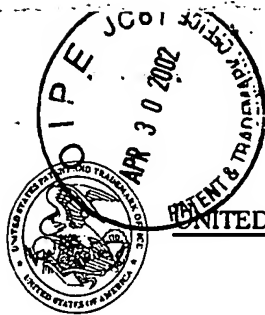
Respectfully submitted,

Dated: April 22, 2002

By:


Catherine M. Polizzi
Registration No. 40,130

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Page 1 of 2

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/020,482 | 10/30/2001 | 1648 | 505 | 226272003311 | 44 | 35 | 3 |

CONFIRMATION NO. 7120

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FILING RECEIPT



OC000000007828313

APR 15 2002

Catherine M. Polizzi
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, CA 94304-1018

MORRISON & FOERSTER, LLP

Date Mailed: 04/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)Edward M. Atkinson, Seattle, WA;
Victor P. Fung, Redmond, WA;
Perry C. Wilkins, Preston, WA;
Ryan K. Takeya, Lynnwood, WA;
Thomas C. Reynolds, Mercer Island, WA;
Ian L. Aranha, Seattle, WA;**Domestic Priority data as claimed by applicant**THIS APPLICATION IS A DIV OF 09/526,333 03/15/2000
WHICH IS A CIP OF 09/142,474 09/04/1998 ABN
WHICH IS A 371 OF PCT/US98/18600 09/04/1998
WHICH CLAIMS BENEFIT OF 60/071,733 01/16/1998
AND CLAIMS BENEFIT OF 60/084,193 09/05/1997WHICH ~~AND CLAIMS BENEFIT OF 60/123,685 03/10/1999~~

AND CLAIMS BENEFIT OF

Foreign Applications 09/142,474 09/04/1998

~~PCT/US99/20524 09/07/1999~~THIS APPLICATION ALSO CLAIMS
BENEFIT OF PCT/US99/20524 09/07/1999

If Required, Foreign Filing License Granted 04/05/2002

Projected Publication Date: 07/18/2002

Non-Publication Request: No

Early Publication Request: No

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/020,482 | 10/30/2001 | 1648 | 505 | 226272003311 | 44 | 35 | 3 |

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CONFIRMATION NO. 7120

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Date Mailed: 08/07/2002

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RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

AUG 09 2002

Domestic Continuity and Foreign Priority

TECH CENTER 1600/2900

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
PCT/US99/20524, 09/142,474
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 USC 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.

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